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Customer Number

Patent  
Case No.: 58623US002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: ANDERSON, MARK T.  
Application No.: 10/728490 Confirmation No.: 9748  
Filed: December 5, 2003  
Title: PROCESS FOR PRODUCING PHOTONIC CRYSTALS

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop: RCE  
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P.O. Box 1450  
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**CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]**

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August 1, 2007

/Victoria K. Hanson/

Date

Signed by: Victoria K. Hanson

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

A Request for Continued Examination under 37 CFR § 1.114 is being filed concurrently, thus it is believed that no fee is due for this Information Disclosure Statement.

**Fees**

- ☐ Any required fee will be made at the time of submission via EFS-Web. In the event fees are not or cannot be paid at the time of EFS-Web submission, please charge any fees under 37 CFR § 1.17 which may be required to Deposit Account No. 13-3723.
- ☐ Please charge any fees under 37 CFR §§ 1.16 and 1.17 which may be required to Deposit Account No. 13-3723. (One copy of this sheet marked duplicate is enclosed.)
- ☒ Please charge any additional fees associated with the prosecution of this application to Deposit Account No. 13-3723. This authorization includes the fee for any necessary extension of time under 37 CFR § 1.136(a). To the extent any such extension should become necessary, it is hereby requested.
- ☒ Please credit any overpayment to the same deposit account.

**Copies of Documents**

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

Respectfully submitted,

July 31, 2007

Date

By: /Lucy C. Weiss/

Lucy C. Weiss, Reg. No.: 32,834

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Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

Substitute for form 1449A/PTO (modified)  <b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)  Page 1 of 1	Application Number	10/728490
	Filing Date	December 5, 2003
	First Named Inventor	Anderson, Mark T.
	Confirmation Number	9748
	Attorney Case Number	58623US002

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number (Kind Code if Known)			
	A1	US-			
	A2	US-			
	A3	US-			
	A4	US-			

Foreign Patent Documents							
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		Ctry. Code	Number-Kind Code (if known)				
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	B2						
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OTHER DOCUMENTS			
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	C1	USSN 10/596186; filed on December 5, 2003; Titled: Process for Producing Photonic Crystals and Controlled Defects Therein; (Attorney Docket No. 59345US003).	
	C2		
	C3		

*Examiner:	Date Considered:
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